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PATENT U.S. Patent Application No. 09/433,360 Atty. Docket No. PP-01544.003

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on September 2, 2001.

Nancy L. Swanson

9 2 1 01 Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hamiduddin Khoja and Venkatarkrishna Shyamala

Serial No.:

09/433,360

Group Art: 1643

Filed:

November 3, 1999

Examiner: Unassigned

For:

ISOLATED VSHK-1 RECEPTOR POLYPEPTIDES

AND METHODS OF USE THEREOF

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Forward to: Office of Initial Patent Examination's

Customer Service Center

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

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OFFICE OF PETITIONS

1

In the "Filing Date" section (see Exhibit A), please correct the date the application was filed from "03/07/2000" to --11/03/1999-- as evidenced by the Express Mail Receipt stamped by the U.S. Post Office on November 3, 1999 (see Exhibit B) and the "return postcard" with the PTO's imprinted PTO Date Stamp (see Exhibit C).

In the "Title" of the application, a space between "VSHK-1 and Receptor" should be reflected as follows:

Isolated VSHK-1 Receptor Polypeptides and Methods of Use Thereof

The Assistant Commissioner is hereby authorized to charge any fees associated with this communication and which may be required under 37 CFR 1.16 and 1.17 to Deposit Account No. 03-1664. This, however, is not authorization to pay the issue fee.

Respectfully submitted,

By:

Kimberlin L. Morley Attorney for Applicant Reg. No. 35,391

Date.

Sept 21, 2001

CHIRON CORPORATION Intellectual Property-R338 4560 Horton Street Emeryville, CA 94608-2916



PATENT U.S. Patent Application No. 09/433,360 Atty. Docket No. PP-01544.003

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Attention: Box Missing Parts, on September 21, 2001.

Nancy 📐. Swanson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hamiduddin Khoja and Venkatarkrishna Shyamala

Serial No.:

09/433,360

Group Art: 1643

Filed:

November 3, 1999

Examiner: Unassigned

For:

ISOLATED VSHK-1 RECEPTOR POLYPEPTIDES

AND METHODS OF USE THEREOF

RESPONSE TO THE NOTICE TO FILE MISSING PARTS OF APPLICATION --FILE DATE GRANTED

Assistant Commissioner for Patents

Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

In response to the "Notice to File Missing Parts of Application -- Filing Date Granted", mailed from the United States Patent and Trademark Office on September 4, 2001, enclosed herewith are the following documents:

- COPY: Notice to File Missing Parts (Part 2) 1.
- Cover Letter for Correction of Previous Notice COPY: 2. (Part 2)
- SIGNED: Declaration for Patent Application (one 3. original signed Declaration)
- Check in the amount of \$130.00 for the declaration 4.

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PATENT U.S. Patent Application No. 09/433,360 Atty. Docket No. PP-01544.003

surcharge fee (\$130.00) (as set forth under C.F.R. \$1.16(e)).

- 5. Certificate Under 37 C.F.R. §3.73(b) with copy of Assignment Letter and Assignment attached thereto.
- 6. Request for Corrected Filing Receipt, along with Exhibit A, B and C attached thereto.
- 7. Power of Attorney for Patent Application
- 8. Blanket Petition for Extension of Time and Authorization to Charge/Credit Deposit Account.

The Assistant Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR 1.16 and 1.17 to Deposit Account No. 03-1664. This, however, is not authorization to pay the issue fee.

Respectfully submitted,

By:

Kimberlin L. Morley Attorney for Applicants

Reg. No. 35,391

Date: September 2, 2001

CHIRON CORPORATION
Intellectual Property-R440
4560 Horton Street
Emeryville, CA 94608-2916
Telephone: (510) 923-8406
Facsimile: (510) 655-3542







#### EXHIBIT A

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/433,360         | 03/07/2000  | 1643         | 1306          | 1544.003       | 4        | 20         | 10         |

should be 11/03/1999

**CONFIRMATION NO. 2446** 

FILING RECEIPT
\*OC000000006506963\*

27476 Chiron Corporation Intellectual Property - R440 P.O. Box 8097 Emeryville, CA 94662-8097

Date Mailed: 09/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an err r is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hamiduddin Khoja, Aliso Viejo, CA; Venkatakrishna Shyamala, Oakland, CA; ALLE KUM :

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/107,112 11/04/1998 AND CLAIMS BENEFIT OF 60/114,856 01/06/1999

Foreign Applications

If Required, Foreign Filing License Granted 12/08/1999

Projected Publication Date: N/A

Non-Publication Request: No

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Early Publication Request: No

OCT 2 3 2001

OFFICE OF PETITIONS

Title

Isolated VSHK-1receptor polypeptides and methods of use thereof

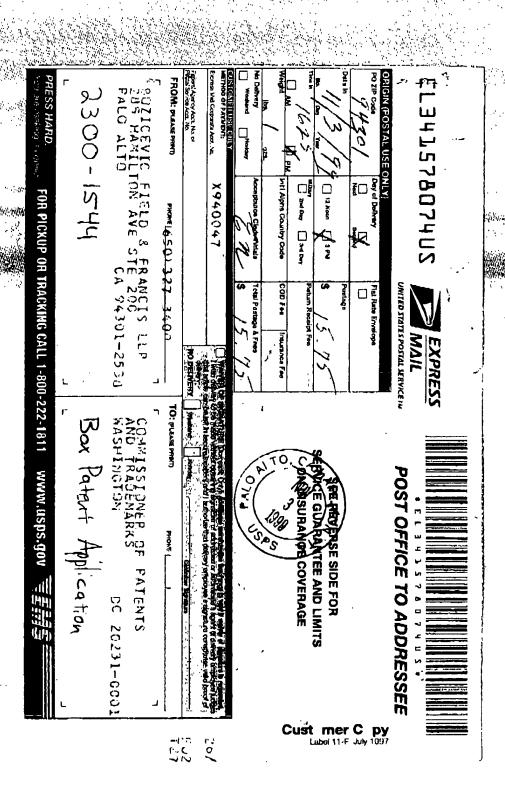
VSHK-1 Receptor

**Preliminary Class** 

should have "space" between words

435

EXHIBIT B



OCT 2 3 2001

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EXHIBIT C

File No. 2300-1544 Application No. N/A Filed: Herewith Applicant: Khoja, et al.

Date Mailed: November 3, 1999 Express Mail No.: EL 341 578 074 US

#### Enclosures:

- 1) Application Transmittal and an additional cover page
  - 68 pages of specification
  - 03 pages of 20 numbered claims
  - Ol page abs tract
  - 04 sheets of drawings
- 2) Declaration for Patent Application, unsigned
- 3) Sequence Listing (paper copy and diskette)
- 4) Statement regarding Sequence Listing
- 5) Our check no. 2430 in the amount of 1,306.00

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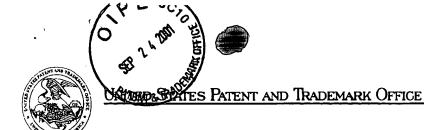
Acknowledge Receipt of enclosures By imprinting PTO Date Stomp and returning to addressee

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/433,360

03/07/2000

Hamiduddin Khoja

1544.003

Washington, D.C. 20231 www.uspto.gov

27476 Chiron Corporation Intellectual Property - R440 P.O. Box 8097 Emeryville, CA 94662-8097



CONFIRMATION NO. 2446
FORMALITIES LETTER
\*OC000000006506964\*

Date Mailed: 09/04/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

130.00 Dp

09/26/2001 SSESHE1 00000002 09433360

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

| APPLICATION N | UMBER |
|---------------|-------|
|---------------|-------|

FILING DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/433,360

03/07/2000

Hamiduddin Khoja

1544.003

27476 Chiron Corporation Intellectual Property - R440 P.O. Box 8097 Emeryville, CA 94662-8097



Date Mailed: 09/04/2001

## COVER LETTER FOR CORRECTION OF PREVIOUS NOTICE

The Notice mailed on 07/19/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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DOCKETED on/by

Atty. PA

File #

Due Date Ext

Final Date